

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Stephen J. Kramer

Serial No.: 10/728,526

Filed: December 5, 2003

For: METHOD AND APPARATUS FOR
CONDITIONING A CHEMICAL-
MECHANICAL POLISHING PAD

Confirmation No.: 8284

Examiner: S. McDonald

Group Art Unit: 3723

Attorney Docket No.: 2269-4371.1US
(00-0118.01/US)

CERTIFICATE OF MAILING

I hereby certify that this correspondence along with any attachments referred to or identified as being attached or enclosed is being deposited with the United States Postal Service as First Class Mail on the date of deposit shown below with sufficient postage and in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

January 23, 2007
Date

Signature

Erika Gandre
Name (Type/Print)

REQUEST FOR REFUND

Mail Stop 16
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Enclosed are copies of Fee Transmittals mailed July 3, 2006 and August 4, 2006 calculating additional claim fees for claims in excess of twenty. Also enclosed are copies of canceled Check No. 9950 in the amount of \$1,600.00 and Check No. 9793 also in the amount of \$1,600.00.

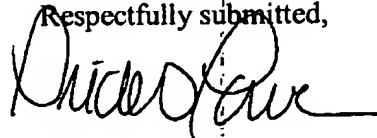
Applicant's attorney inadvertently paid fees twice corresponding to the same set of claims being increased from 23 claims to 55 claims.

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Serial No. 10/728,526

Please refund this amount directly to Applicants' attorneys via their USPTO deposit account, TRASKBRITT, PC, Deposit Account No. 20-1469, and reference Attorney Docket No. 2269-4371.1US. A copy of this Transmittal Letter is enclosed for deposit account purposes.

Respectfully submitted,



Brick G. Power
Registration No. 38,581
Attorney for Applicant
TRASKBRITT
P.O. Box 2550
Salt Lake City, Utah 84110-2550
Telephone: 801-532-1922

Date: January 23, 2007

BGP/dlm:eg

Enclosures: Copy of Fee Transmittal mailed July 3, 2006
Copy of returned check 9950 in the amount of \$1,600.00
Copy of Fee Transmittal mailed August 4, 2006
Copy of returned check 9793 in the amount of \$1,600.00

Document in ProLaw

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).		Complete If Known	
<h2 style="text-align: center;">FEE TRANSMITTAL</h2> <h3 style="text-align: center;">for FY 2006</h3>		Application Number	10/728,528
		Filing Date	12/5/2003
		First Named Inventor	Stephen J. Kramer
		Examiner Name	S. McDonald
		Art Unit	3723
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Attorney Docket No.	2269-4371.1US (00-0118.01/US)
TOTAL AMOUNT OF PAYMENT		(\$) 1600	

METHOD OF PAYMENT (check all that apply)
☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify) _____

☒ Deposit Account Deposit Account Number: 20-1469 Deposit Account Name: TraskBritt, PC

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below☐ Charge fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or underpayments of fee(s)☒ Credit any overpayments

Under 37 CFR 1.16 and 1.17

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fees Paid (\$)
Utility	300	150	500	250	200	100	_____
Design	200	100	100	50	130	65	_____
Plant	200	100	300	150	160	80	_____
Reissue	300	150	500	250	600	300	_____
Provisional	200	100	0	0	0	0	_____

2. EXCESS CLAIM FEES**Fee Description**

Each claim over 20 (including Reissues)

Each independent claim over 3 (including Reissues)

Multiple dependent claims

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
55	-23 or HP= 32	x 50	= 1600

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
3	- 3 or HP= 0	x 200	= 0

HP = highest number of independent claims paid for, if greater than 3.

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
_____	- 100 = _____	/ 50 = _____ (round up to a whole number) x	=	_____

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): _____

SUBMITTED BY

Signature		Registration No. (Attorney/Agent)	38,581	Telephone	801-532-1922
Name (Print/Type)	Brick G. Power			Date	7/3/08

This collection of information is required by 37 CFR 1.138. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

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Effective on 12/08/2004.
 Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL for FY 2006

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 1600.00

Complete If Known

Application Number	10728,528
Filing Date	12/5/2003
First Named Inventor	Stephen J. Kramer
Examiner Name	S. McDonald
Art Unit	3723
Attorney Docket No.	2269-4371.1US (00-0118.01/US)

METHOD OF PAYMENT (check all that apply)

- ☒ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify) _____
- ☒ Deposit Account Deposit Account Number: 20-1469 Deposit Account Name: TraskBritt, PC
- For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)
- ☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee
- ☒ Charge any additional fee(s) or underpayments of fee(s) ☒ Credit any overpayments
- Under 37 CFR 1.16 and 1.17

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	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fees Paid (\$)
Utility	300	150	500	250	200	100	_____
Design	200	100	100	50	130	65	_____
Plant	200	100	300	150	160	80	_____
Reissue	300	150	500	250	600	300	_____
Provisional	200	100	0	0	0	0	_____

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55	-23 or HP= 31	x 50	= 1600.00

HP = highest number of total claims paid for, if greater than 20.

<u>Indep. Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
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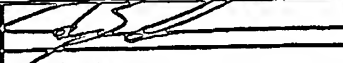
<u>Total Sheets</u>	<u>Extra Sheets</u>	<u>Number of each additional 50 or fraction thereof</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
_____	- 100 = _____	/ 50 = _____	(round up to a whole number) x	= _____

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): _____

SUBMITTED BY

Signature		Registration No. (Attorney/Agent)	57,829	Telephone	801-532-1922
Name (Print/Type)	Craig Buschmann	Date	August 4, 2004		

This collection of information is required by 37 CFR 1.138. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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 P.O. BOX 2550
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 PHONE: 801-532-1922

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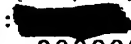




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Stephen J. Kramer

Serial No.: 10/728,526

Filed: December 5, 2003

**For: METHOD AND APPARATUS FOR
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Confirmation No.: 8284

Examiner: S. McDonald

Group Art Unit: 3723

Attorney Docket No.: 2269-4371.1US

NOTICE OF EXPRESS MAILING

Express Mail Mailing Label Number: EV827472694US

Date of Deposit with USPS: August 4, 2006

Person making Deposit: Brett Hooke

**AMENDMENT ACCOMPANYING REQUEST
FOR CONTINUED EXAMINATION**

Mail Stop AF
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This Amendment follows the Advisory Actions mailed June 15, 2006, and August 3, 2006, as well as the final Office Action of April 4, 2006, the shortened statutory period for response to which expired on July 5, 2006. This Amendment is being submitted with an amendment for a one month extension of time and the appropriate fee.

A listing of the claims, in which proposed revisions to the claims are set forth, begins on page 3 of this paper; and

Remarks start at page 8 of this paper.

Repln. Ref: 02/23/2007 SDENB0B1 0008253100
DAH:201469 Name/Number:10728526
FC: 9204 \$1550.00 CR

08/08/2006 HGUTEMAI 00000015 10728526

03 FC:1202

1550.00 OP

Adjustment date: 02/23/2007 SDENB0B1
08/08/2006 HGUTEMAI 00000015 10728526
03 FC:1202 -1550.00 OP